



AUDIT COMMITTEE

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To: Councillors Cory-Lowsley, Hadji-Nikolaou, Infield, Knight, Maynard, Popley and Ms Nellist (Chair) (For attention)

All other members of the Council
(For information)

You are requested to attend the meeting of the Audit Committee to be held in Committee Room 1, at the Council Offices, Southfields, Loughborough on Tuesday, 11th July 2023 at 6.00 pm for the following business.

Chief Executive

Southfields
Loughborough

3rd July 2023

AGENDA

1. APPOINTMENT OF VICE-CHAIR 2023-24

Committee procedure 12.3 of the Council's Constitution states that the Vice-Chair of the Audit Committee will be appointed by the Committee from among its members. The Committee is asked to do so for the Council Year 2023/24.

2. APOLOGIES

3. MINUTES FROM THE PREVIOUS MEETING

The Committee is asked to confirm as a correct record the minutes of the meeting of the Committee held on 25th April 2023.

4. DISCLOSURES OF PECUNIARY INTERESTS, AND OTHER REGISTRABLE AND NON-REGISTRABLE INTERESTS

5. QUESTIONS UNDER OTHER COMMITTEE PROCEDURES 12.8

No questions were submitted.

6. INTERNAL AUDIT PLAN - PROGRESS 4 - 38

A report of the Audit Manager.

7. 2022/23 INTERNAL AUDIT ANNUAL REPORT 39 - 52

A report of the Audit Manager.

8. UPDATE ON THE IMPLEMENTATION OF THE CIPFA POSITION STATEMENT: AUDIT COMMITTEES IN LOCAL AUTHORITIES AND POLICE 2022 53 - 68

A report of the Audit Manager.

9. COUNCIL'S USE OF REGULATION OF INVESTIGATORY POWERS (RIPA) 69 - 71

A report of the Head of Governance and Human Resources.

10. ALLOWANCES AND EXPENSES CLAIMED BY COUNCILLORS AND CO-OPTED MEMBERS 72 - 76

A report of the Head of Governance and Human Resources.

11. ANTI-FRAUD AND CORRUPTION STRATEGY AND WHISTLEBLOWING STRATEGY 77 - 79

A report of the Head of Governance and Human Resources.

12. TREASURY MANAGEMENT (Q4) OUTTURN REPORT 80 - 93

A report of the Head of Finance.

13. RISK MANAGEMENT (RISK REGISTER) 94 - 112

A report of the Head of Transformation, Strategy and Performance.

14. ENVIRONMENTAL AUDIT (OUTCOMES) 113 - 128

A report of the Director of Commercial and Economic Development.

15. WORK PROGRAMME 129 - 135
A report of the Director of Finance, Governance and Contracts.
16. EXEMPT INFORMATION
It is recommended that members of the public be excluded from the meeting during the consideration of the following items on the grounds that they will involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and it is considered that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
17. INVESTMENT PERFORMANCE REPORT - Q1 2023-24 136 - 148
An exempt report of the Director of Commercial and Economic Development circulated to members of the Committee.
18. ANNUAL IT HEALTH CHECK (CODE OF CONNECTION) 149 - 303
An exempt report of the Director of Customer Experience circulated to members of the Committee.